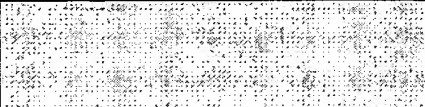



UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.		19603/3631 (CRF D-1997C)	
		First Inventor		Goldman et al.	
		Title		METHOD FOR SEPARATING CELLS	
		Express Mail Label No.		EL984956852US	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.				Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)				7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification [Total Pages 36] (preferred arrangement set forth below)				a. <input type="checkbox"/> Computer Readable Form (CRF)	
- Descriptive title of the invention				b. Specification Sequence Listing on:	
- Cross Reference to Related Applications (if applicable)				i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or	
- Statement Regarding Fed sponsored R & D (if applicable)				ii. <input checked="" type="checkbox"/> paper (in specification)	
- Reference to sequence listing, a table, or a computer program listing appendix (if applicable)				c. <input checked="" type="checkbox"/> Statements verifying identity of above copies	
- Background of the Invention				ACCOMPANYING APPLICATION PARTS	
- Brief Summary of the Invention				9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
- Brief Description of the Drawings (if filed)				10. <input type="checkbox"/> 37 CFR 3.73(b) Statement [] Power of (when there is an assignee) Attorney	
- Detailed Description				11. <input type="checkbox"/> English Translation Document (if applicable)	
- Claim(s)				12. <input checked="" type="checkbox"/> Information Disclosure [] Copies of IDS Statement (IDS)/PTO-1449 Citations	
- Abstract of the Disclosure				13. <input checked="" type="checkbox"/> Preliminary Amendment	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6]				14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
5. Oath or Declaration [Total Pages 2]				15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
a. <input type="checkbox"/> Newly executed (original or copy)				16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
b. <input type="checkbox"/> Unsigned				17. <input type="checkbox"/> Other: _____	
c. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)					
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)					
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:					
<input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/836,570					
Prior application information: Examiner: Marianne P. Allen Group / Art Unit: 1631					
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.					
19. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label				or <input checked="" type="checkbox"/> Correspondence address below	
Name		Edwin V. Merkel			
Address		Nixon Peabody LLP			
City		Clinton Square, P.O. Box 31051			
Country		Rochester			
State		NY			
Telephone		Zip Code			
USA		14603-1051			
		(585) 263-1128			
		Fax (585) 263-1600			
Name (Print/Type)		Edwin V. Merkel		Registration No. (Attorney/Agent)	
Signature				40,087	
		Date		February 17, 2004	

FEE TRANSMITTAL FOR FY 2004

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 385

Complete if Known

Application Number	Continuation of 09/836,570
Filing Date	Herewith
First Named Inventor	Goldman et al.
Examiner Name	To Be Assigned
Art Unit	To Be Assigned
Attorney Docket No.	19603/3631 (CRF D-1997C)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 14-1138

Deposit Account Name Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 385

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
11	-20** = 0	X	0
Independent Claims	1	-3** = 0	X
Multiple Dependent		X	0

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	2053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	420	2252	210	Extension for reply within second month
1253	950	2253	475	Extension for reply within third month
1254	1,480	2254	740	Extension for reply within fourth month
1255	2,010	2255	1,005	Extension for reply within fifth month
1401	330	2401	165	Notice of Appeal
1402	330	2402	165	Filing a brief in support of an appeal
1403	290	2403	145	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,330	2453	665	Petition to revive - unintentional
1501	1,330	2501	665	Utility issue fee (or reissue)
1502	480	2502	240	Design issue fee
1503	640	2503	320	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))
1801	770	2801	385	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop _____, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) _____.

Date

Signature

Typed or printed name

SUBMITTED BY

Name (Print/Type) Edwin V. Merkel

Signature *[Handwritten Signature]*

Registration No. 40,087
(Attorney/Agent)

Complete (if applicable)

Telephone (585) 263-1128

Date February 17, 2004

SEND TO: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

DOCKET NO.: **19603/3631 (CRF D-1997C)**

APPLICANT: **Steven A. Goldman and Hong Wu**

TITLE: **METHOD FOR SEPARATING CELLS**

Certificate is attached to the **Utility Patent Application Transmittal Letter (1 page) and Fee Transmittal (1 page)** of the above-named application.

EXPRESS MAIL NUMBER: **EL984956852US**

DATE OF DEPOSIT: **February 17, 2004**

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Edwin V. Merkel

(Typed or printed name of person mailing
paper or fee)



(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: **19603/3631 (CRF D-1997C)**

APPLICANTS: **Steven A. Goldman and Hong Wu**

TITLE: **METHOD FOR SEPARATING CELLS**

Certificate is attached to the **Patent Application including specification, claims and abstract (36 pages)** as filed in the prior application of the above-named application.

EXPRESS MAIL NUMBER: **EL984956852US**

DATE OF DEPOSIT: **February 17, 2004**

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EXPRESS MAIL CERTIFICATE

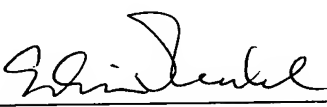
DOCKET NO.: 19603/3631 (CRF D-1997C)
APPLICANTS: Steven A. Goldman and Hong Wu
TITLE: METHOD FOR SEPARATING CELLS

Certificate is attached to the **Drawings (6 sheets)** as filed in the prior application of the above-named application.

EXPRESS MAIL NUMBER: EL984956852US
DATE OF DEPOSIT: February 17, 2004

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EXPRESS MAIL CERTIFICATE


DOCKET NO.: 19603/3631 (CRF D-1997C)
APPLICANT: Steven A. Goldman and Hong Wu
TITLE: METHOD FOR SEPARATING CELLS

Certificate is attached to the copy of the **SIGNED Combined Declaration and Power of Attorney Form (2 pages)** as filed in the prior application of the above-named application.

EXPRESS MAIL NUMBER: EL984956852US
DATE OF DEPOSIT: February 17, 2004

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Edwin V. Merkel
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paper or fee)


(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 19603/3631 (CRF D-1997C)

APPLICANT: Steven A. Goldman and Hong Wu

TITLE: METHOD FOR SEPARATING CELLS

Certificate is attached to the **Request For Transfer Of Computer Readable Record And Statement In Accordance With 37 CFR 1.821(f) (1 page)** of the above-named application.

EXPRESS MAIL NUMBER: EL984956852US

DATE OF DEPOSIT: February 17, 2004

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Edwin V. Merkel

(Typed or printed name of person mailing
paper or fee)



(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 19603/3631 (CRF D-1997C)

APPLICANTS: Steven A. Goldman and Hong Wu

TITLE: METHOD FOR SEPARATING CELLS

Certificate is attached to the Information Disclosure Statement (1 page) and PTO-1449 Form (2 pages) (in duplicate) with 29 references (not attached) of the above-named application.

EXPRESS MAIL NUMBER: EL984956852US

DATE OF DEPOSIT: February 17, 2004

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Edwin V. Merkel

(Typed or printed name of person mailing
paper or fee)



(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: **19603/3631 (CRF D-1997C)**

APPLICANT: **Steven A. Goldman and Hong Wu**

TITLE: **METHOD FOR SEPARATING CELLS**

Certificate is attached to the **Preliminary Amendment (4 pages) (with 2 page attachment)** of the above-named application.

EXPRESS MAIL NUMBER: **EL984956852US**

DATE OF DEPOSIT: **February 17, 2004**

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